Four corrections to the slides:

• Slide IDC2-39:

$$P(\tilde{I}_{2k} \text{ error}) = P(\tilde{I}_{2k} \text{ correct})P(\tilde{I}_{2k-1} \text{ error})$$
$$+P(\tilde{I}_{2k} \text{ error})P(\tilde{I}_{2k-1} \text{ correct})$$

should be

$$P(I_{2k} \text{ error}) = P(\tilde{I}_{2k} \text{ correct})P(\tilde{I}_{2k-1} \text{ error})$$
$$+P(\tilde{I}_{2k} \text{ error})P(\tilde{I}_{2k-1} \text{ correct})$$

• Slides IDC2-46 and IDC2-52:

$$s_{\text{GMSK}}(t) = \sqrt{\frac{2E_b}{T_b}} \sum_{\ell=0}^{\infty} \left[ a_{2\ell-1}(t) \cdot g(t - (2\ell - 1)T_b) \cdot \cos(2\pi f_c t) - a_{2\ell}(t) \cdot g(t - 2\ell T_b) \cdot \sin(2\pi f_c t) \right]$$

is better "symbolized" as

$$s_{\text{GMSK}}(t) = \sqrt{\frac{2E_b}{T_b}} \sum_{\ell=0}^{\infty} \left[ a_{2\ell-1}(t) \star g(t - (2\ell - 1)T_b) \cdot \cos(2\pi f_c t) - a_{2\ell}(t) \star g(t - 2\ell T_b) \cdot \sin(2\pi f_c t) \right],$$

where " $\star$  " is the convolution operation. You may refer to the following paper about how GMSK is realized.

[1] Mitsuru Ishizuka and Kenkichi Hirade, "Optimum Gaussian filter and deviated-frequencylocking scheme for coherent detection of MSK," *IEEE Trans. Comm.*, vol. COM-28, no. 6, pp. 850-857, June 1980.

- Slide IDC2-72: Remove "(coherent)".
- Slide IDC2-74: Replace "coherent matched filter" with "quadratic receiver using matched filter".

- 1. Prove the following statements.
  - (a) Find all solutions of real-valued x and h for the identity  $e^{jx\pi h} = xe^{j\pi h}$ .
  - (b) Suppose  $I_n \in \{\pm 1\}$ . Prove that  $\{J_n \triangleq \prod_{k=0}^n I_k\}$  is uniform i.i.d. if  $\{I_k\}$  is uniform i.i.d.
  - (c) Suppose we have received

$$\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \underbrace{\begin{bmatrix} s_{1,k} \\ s_{2,k} \\ s_{3,k} \\ s_{4,k} \end{bmatrix}}_{\mathbf{s}_k} + \underbrace{\begin{bmatrix} w_1 \\ w_2 \\ w_3 \\ w_4 \end{bmatrix}}_{\mathbf{w}},$$

where

$$\begin{bmatrix} s_{1,k} \\ s_{2,k} \\ s_{3,k} \\ s_{4,k} \end{bmatrix} = \underbrace{\begin{bmatrix} \sqrt{E} \\ 0 \\ 0 \\ 0 \\ \end{bmatrix}}_{k=1} \text{ or } \underbrace{\begin{bmatrix} 0 \\ \sqrt{E} \\ 0 \\ 0 \\ \end{bmatrix}}_{k=2} \text{ or } \underbrace{\begin{bmatrix} 0 \\ 0 \\ \sqrt{E} \\ 0 \\ k=3 \end{bmatrix}}_{k=3} \text{ or } \underbrace{\begin{bmatrix} 0 \\ 0 \\ 0 \\ \sqrt{E} \\ k=4 \end{bmatrix}}_{k=4} \text{ with equal probability,}$$

and  $w_1$ ,  $w_2$ ,  $w_3$  and  $w_4$  are zero-mean i.i.d. Gaussian with variance  $\sigma^2$ . Under this setting, the optimal decision rule is

decision = 
$$\arg \max_{1 \le k \le 4} f(\mathbf{x}|\mathbf{s}).$$

Prove that we can simplify the decision rule to

decision = 
$$\arg \max_{1 \le k \le 4} \prod_{i=1}^{4} e^{s_{i,k} x_i / \sigma^2} = \arg \max_{1 \le k \le 4} \exp \left\{ \frac{1}{\sigma^2} \sum_{k=1}^{4} s_{i,k} x_i \right\}.$$

Note: This sub-problem is a supplement to Slide IDC2-66.

## Solution.

(a) We first solve x. Since  $e^{jx\pi h} = xe^{j\pi h}$ , we have  $|e^{jx\pi h}| = |x| |e^{j\pi h}|$ , which implies |x| = 1, i.e.,  $x = \pm 1$ . We next solve h. When x = 1, the identity holds for every real h. When x = -1, we have

$$e^{-j\pi h} = -e^{j\pi h} \Rightarrow \begin{cases} \cos(\pi h) = -\cos(\pi h) \\ -\sin(\pi h) = -\sin(\pi h) \end{cases} \Rightarrow h = \pm \frac{(2\ell - 1)}{2} \text{ for integer } \ell \end{cases}$$

Note: When  $h = \pm \frac{(2\ell-1)}{2}$  for integer  $\ell$ , the identity  $e^{jx\pi h} = xe^{j\pi h}$  holds exactly for two values of x, i.e.,  $x = \pm 1$ . We can thus apply this identity in the design of binary (digital) transmission as we have mentioned in class.

(b) First, we note that

$$\Pr(J_n = j_n | J_0 = j_0, J_1 = j_1, \dots, J_{n-1} = j_{n-1}) = \Pr(I_n = j_n j_{n-1}) = \frac{1}{2}$$

remains equal to constant 1/2, regardless of the values of  $j_0, j_1, \ldots, j_{n-1}$ . Hence,  $J_n$  is independent of  $J_0, J_1, \ldots, J_{n-1}$ . Secondly, uniformity of  $J_n$  can be proved by

$$\Pr(J_n = j_n) = \sum_{\substack{(j_0, \dots, j_{n-1}) \in \{\pm 1\}^n \\ \times \Pr(J_n = j_n | J_0 = j_0, J_1 = j_1, \dots, J_{n-1} = j_{n-1}) \\ = \sum_{\substack{(j_0, \dots, j_{n-1}) \in \{\pm 1\}^n \\ = \frac{1}{2} \sum_{\substack{(j_0, \dots, j_{n-1}) \in \{\pm 1\}^n \\ = \frac{1}{2}} \Pr(J_0 = j_0, J_1 = j_1, \dots, J_{n-1} = j_{n-1}) \times \frac{1}{2}}$$

for  $j_n \in \{\pm 1\}$ .

Note: As long as  $\{I_n\}$  is uniform i.i.d.,  $\{J_n\}$  is uniform i.i.d. Hence,  $\sum_n I_n g(t - nT)$ and  $\sum_n J_n g(t - nT)$  have exactly the same time-averaged PSD. This gives us the freedom of using  $\{J_n\}$  in place of  $\{I_n\}$  in the communications system when necessary. See Slide IDC2-41.

(c) Since  $w_1, w_2, w_3$  and  $w_4$  are independent, we have

$$f(\mathbf{x}|\mathbf{s}_{k}) = \prod_{i=1}^{4} f(x_{i}|s_{i,k})$$

$$= \prod_{i=1}^{4} \frac{1}{\sqrt{2\pi\sigma^{2}}} \exp\left\{-\frac{(x_{i} - s_{i,k})^{2}}{2\sigma^{2}}\right\}$$

$$= \left(\frac{1}{\sqrt{2\pi\sigma^{2}}}\right)^{4} \exp\left\{-\frac{1}{2\sigma^{2}}\sum_{i=1}^{4} (x_{i} - s_{i,k})^{2}\right\}$$

$$= \frac{1}{4\pi^{2}\sigma^{4}} \exp\left\{-\frac{1}{2\sigma^{2}}\left(\sum_{i=1}^{4} x_{i}^{2} - 2\sum_{i=1}^{4} x_{i}s_{i,k} + \sum_{i=1}^{4} s_{i,k}^{2}\right)\right\}$$

$$= \underbrace{\frac{1}{4\pi^{2}\sigma^{4}} \exp\left\{-\frac{1}{2\sigma^{2}}\left(\sum_{i=1}^{4} x_{i}^{2} + E\right)\right\}}_{\text{irrelevant to } k} \exp\left\{\frac{1}{\sigma^{2}}\sum_{i=1}^{4} x_{i}s_{i,k}\right\}$$

Hence, we can remove the multiplicative factor that is irrelevant to k and obtain

decision = arg 
$$\max_{1 \le k \le 4} f(\mathbf{x}|\mathbf{s}) = \arg \max_{1 \le k \le 4} \exp\left\{\frac{1}{\sigma^2} \sum_{i=1}^4 x_i s_{i,k}\right\}.$$

2. (This problem and the next problem will show you that the FSK formulation selected in the very beginning will have a fundamental impact on the final design. Each FSK formulation has its own advantage, and which one to use depends on the applications.)

Consider a binary FSK signaling scheme defined by

$$s(t) = \sum_{k=-\infty}^{\infty} g(t - kT_b) \cos\left(2\pi f_c t + I_k \frac{\pi h}{T_b} t\right),\tag{1}$$

where  $I_k \in \{\pm 1\}$  and  $g(t) = \begin{cases} 1, & 0 \le t < T_b; \\ 0, & \text{otherwsie} \end{cases}$ . Suppose  $f_1 = 1.81$  MHz and  $f_2 = 1.79$  MHz.

- (a) Give an example of  $f_c$ , h and  $T_b$  that satisfy (1). Is the value of  $f_c$  unique? Is the value of h unique? Is the value of  $T_b$  unique?
- (b) If we require
  - (i) memorylessness,
  - (ii) phase continuity and

(*iii*) coherent orthogonality between two waveforms corresponding to  $f_1$  and  $f_2$  over  $[nT_b, (n+1)T_b)$  for all integers n,

what are the conditions for h and  $f_c T_b$ ?

Hint: Discontinuity can only occur at  $t = nT_b$ ; thus, we require

$$\lim_{t\uparrow nT_b}s(t)=\lim_{t\downarrow nT_b}s(t)$$

for arbitrary  $I_{n-1}$  and  $I_n$  and for arbitrary integer n.

- (c) Check whether Sunde's FSK described in Slide IDC2-4 fulfills the condition in (b).
- (d) In modern communications, only  $f_c \gg 1/T_b$  is guaranteed, while  $2f_cT_b$  may not be an integer. Also, coherent orthogonality is actually not as important as phase-continuity. When only phase-continuity is required and  $2f_cT_b$  is not an integer, what is the largest transmission rate  $R_b$  (bits per second) attainable under the FSK formulation in (1)?

## Solution.

(a)

$$\cos\left(2\pi f_c t + I_k \frac{\pi h}{T_b} t\right) = \cos\left(2\pi \left[f_c + I_k \frac{h}{2T_b}\right] t\right),$$

implies that  $f_1 = f_c + \frac{h}{2T_b}$  and  $f_2 = f_c - \frac{h}{2T_b}$ . Thus, summing and subtracting the two above equations yield  $f_1 + f_2 = 2f_c$  and  $f_1 - f_2 = h/T_b$ . As a result,  $f_c = 1.8$  MHz is unique; but, we have  $h/T_b = 20$  KHz, and hence, h and  $T_b$  can be adjusted (e.g., h = 1 and  $T_b = 50 \ \mu$ s).

Note:  $h/T_b$  is a constant. Hence, a smaller h gives a smaller  $T_b$ , which in turns gives a higher transmission rate  $R_b = 1/T_b$ .

(b) The FSK formulation in (1) guarantees a memoryless BFSK; hence, no constraint on h and  $f_cT_b$  is devised from memoryless requirement.

For phase-continuity requirement, we observe that discontinuity can only occur at  $t = nT_b$ ; thus, we require

$$\lim_{t\uparrow nT_b}s(t)=\lim_{t\downarrow nT_b}s(t).$$

Derive

$$\lim_{t \uparrow nT_b} s(t) = \lim_{t \uparrow nT_b} \sum_{k=-\infty}^{\infty} g(t - kT_b) \cos\left(2\pi f_c t + I_k \frac{\pi h}{T_b} t\right) \\ = \cos\left(2\pi f_c nT_b + I_{n-1}\pi hn\right) \quad (\text{i.e., } k = n-1 \text{ when } (n-1)T_b \le t < nT_b)$$

and

$$\lim_{t \downarrow nT_b} s(t) = s(nT_b) = \sum_{k=-\infty}^{\infty} g(nT_b - kT_b) \cos\left(2\pi f_c nT_b + I_k \frac{\pi h}{T_b} nT_b\right)$$
$$= \cos\left(2\pi f_c nT_b + I_n \pi hn\right) \quad (\text{i.e., } k = n).$$

As a result, phase-continuity requires

$$(\forall I_{n-1}, I_n \in \{\pm 1\}) \ \cos(2\pi f_c n T_b + I_{n-1} \pi h n) = \cos(2\pi f_c n T_b + I_n \pi h n) \Leftrightarrow \ (\forall I_{n-1}, I_n \in \{\pm 1\}) \ \cos(2\pi f_c n T_b) \cos(I_{n-1} \pi h n) - \sin(2\pi f_c n T_b) \sin(I_{n-1} \pi h n) = \cos(2\pi f_c n T_b) \cos(I_n \pi h n) - \sin(2\pi f_c n T_b) \sin(I_n \pi h n)$$

for every integer n, which is equivalent to

$$\sin(2\pi f_c nT_b)\sin(\pi hn) = 0$$

for every integer n. A sufficient and necessary condition for phase continuity is

 $2\pi f_c nT_b \mod \pi = 0$  or  $\pi hn \mod \pi = 0$  for every integer n,

i.e.,

## $2f_cT_b$ is an integer or h is an integer.

With this condition in mind, coherent orthogonality requires

$$\begin{split} &\int_{nT_b}^{(n+1)T_b} \cos\left(2\pi f_c t + \frac{\pi h}{T_b}t\right) \cos\left(2\pi f_c t - \frac{\pi h}{T_b}t\right) dt = 0 \\ &\Leftrightarrow \int_{nT_b}^{(n+1)T_b} \cos\left(4\pi f_c t\right) dt + \int_{nT_b}^{(n+1)T_b} \cos\left(2\frac{\pi h}{T_b}t\right) dt = 0 \\ &\Leftrightarrow \left.\frac{1}{4\pi f_c} \sin\left(4\pi f_c t\right)\right|_{nT_b}^{(n+1)T_b} + \frac{T_b}{2\pi h} \sin\left(2\frac{\pi h}{T_b}t\right) \right|_{nT_b}^{(n+1)T_b} = 0 \\ &\Leftrightarrow \left.\frac{1}{4\pi f_c} \left(\sin(4\pi f_c(n+1)T_b) - \sin(4\pi f_c nT_b)\right) \\ &+ \frac{T_b}{4\pi h} \left(\sin(2\pi h(n+1)) - \sin(2\pi hn)\right) = 0 \text{ for every integer } n \\ &\Leftrightarrow \left\{ \frac{\sin(2\pi h(n+1))}{\sin(4\pi f_c(n+1)T_b)} = \sin(4\pi f_c nT_b), & \text{if } 2f_c T_b \text{ integer} \\ \sin(4\pi f_c(n+1)T_b) = \sin(4\pi f_c nT_b), & \text{if } h \text{ integer} \end{array} \right. \end{split}$$

To sum up, the three requirements dictate that both 2h and  $2T_b f_c$  are integers.

- (c) In Sunde's FSK,  $f_1 = k/T_b$  and  $f_2 = \ell/T_b$  are both multiples of  $1/T_b$ . We confirm that  $2f_cT_b = (f_1 + f_2)T_b = k + \ell$  is an integer, and  $2h = 2(f_1 f_2)T_b = 2(k \ell)$  is an integer. Hence, the three requirements are all satisfied.
- (d) As mentioned in the solution of (a), we shall make h as small as possible (in order to approach the largest transmission rate). In the solution of (b), we obtain that phase-continuity dictates

 $2f_cT_b$  is an integer or h is an integer.

As  $2f_cT_b$  is not an integer, the smallest h attainable is h = 1. As such,  $h/T_b = hR_b = 20$  KHz implies  $R_{b,\max} = 20/h = 20$  Kbps. Note: When  $f_c \gg \frac{1}{T_b}$ , we have

$$\int_{nT_b}^{(n+1)T_b} \cos\left(4\pi f_c t\right) dt \approx 0.$$

Thus, setting h = 1 still results in coherent near-orthogonality. As a result, at  $R_b = 20$  Kbps, the FSK formulation in (1) can fulfill memorylessness, phase-continuity and coherent near-orthogonality.

3. Consider a binary FSK signaling scheme defined by

$$s(t) = \sum_{n=-\infty}^{\infty} g(t - nT_b) \cos\left(2\pi f_c t + \sum_{k=-\infty}^{n-1} I_k \pi h + I_n \pi h\left(\frac{t - nT_b}{T_b}\right)\right),\tag{2}$$

where  $I_n \in \{\pm 1\}$  and  $g(t) = \begin{cases} 1, & 0 \le t < T_b; \\ 0, & \text{otherwsie} \end{cases}$ . Suppose  $f_1 = 1.81$  MHz and  $f_2 = 1.79$  MHz

MHz.

- (a) Give an example of  $f_c$ , h and  $T_b$  that satisfy (2). Is the value of  $f_c$  unique? Is the value of h unique? Is the value of  $T_b$  unique?
- (b) If we require
  - (i) memorylessness,
  - (ii) phase continuity and

(*iii*) coherent orthogonality between two waveforms corresponding to  $f_1$  and  $f_2$  over  $[nT_b, (n+1)T_b)$  for all integers n,

what are the conditions for h and  $f_cT_b$ ?

Hint: Discontinuity can only occur at  $t = nT_b$ ; thus, we require

$$\lim_{t\uparrow nT_b}s(t)=\lim_{t\downarrow nT_b}s(t)$$

for arbitrary  $I_{n-1}$  and  $I_n$  and for arbitrary integer n.

(c) In modern communications, only  $f_c \gg 1/T_b$  is guaranteed, while  $2f_cT_b$  may not be an integer. Also, coherent orthogonality is actually not as important as phase-continuity. When only phase-continuity is required and  $2f_cT_b$  is not an integer, what is the largest transmission rate  $R_b$  (bits per second) attainable under the FSK formulation in (2)?

## Solution.

(a)

$$\cos\left(2\pi f_c t + \sum_{k=-\infty}^{n-1} I_k \pi h + I_n \pi h\left(\frac{t-nT_b}{T_b}\right)\right)$$
$$= \cos\left(2\pi \left[f_c + I_n \frac{h}{2T_b}\right] t + \sum_{k=-\infty}^{n-1} I_k \pi h - nI_n \pi h\right),$$

implies that  $f_1 = f_c + \frac{h}{2T_b}$  and  $f_2 = f_c - \frac{h}{2T_b}$ . Thus, summing and subtracting the two above equations yield  $f_1 + f_2 = 2f_c$  and  $f_1 - f_2 = h/T_b$ . As a result,  $f_c = 1.8$  MHz is unique; but, we have  $h/T_b = 20$  KHz, and hence, h and  $T_b$  can be adjusted (e.g., h = 1 and  $T_b = 50 \ \mu$ s).

(b) Memorylessness requires s(t) to be independent of  $\{I_k\}_{k=-\infty}^{n-1}$  for  $nT_b \leq t < (n+1)T_b$ . In this period of t, we have

$$s(t) = \cos\left(2\pi f_c t + \sum_{k=-\infty}^{n-1} I_k \pi h + I_n \pi h\left(\frac{t-nT_b}{T_b}\right)\right)$$
$$= \cos\left(2\pi \left[f_c + I_n \frac{h}{2T_b}\right] t + \sum_{k=-\infty}^{n-1} I_k \pi h - I_n \pi hn\right).$$

Accordingly, memorylessness implies  $\left(\sum_{k=-\infty}^{n-1} I_k \pi h\right) \mod 2\pi = \text{constant}$  for arbitrary  $\sum_{k=-\infty}^{n-1} I_k$ , which gives that h must be an even integer.

For phase-continuity, we observe that discontinuity can only occur at  $t = \ell T_b$ ; thus, we require  $\lim_{t\uparrow\ell T_b} s(t) = \lim_{t\downarrow\ell T_b} s(t)$ . Derive

$$\begin{split} \lim_{t\uparrow\ell T_{b}} s(t) \\ &= \lim_{t\uparrow\ell T_{b}} \sum_{n=-\infty}^{\infty} g(t-nT_{b}) \cos\left(2\pi f_{c}t + \sum_{k=-\infty}^{n-1} I_{k}\pi h + I_{n}\pi h\left(\frac{t-nT_{b}}{T_{b}}\right)\right) \\ &= \cos\left(2\pi f_{c}\ell T_{b} + \sum_{k=-\infty}^{\ell-2} I_{k}\pi h + I_{\ell-1}\pi h\left(\frac{\ell T_{b} - (\ell-1)T_{b}}{T_{b}}\right)\right) \quad (\text{i.e.}, n = \ell - 1) \\ &= \cos\left(2\pi f_{c}\ell T_{b} + \sum_{k=-\infty}^{\ell-1} I_{k}\pi h\right), \end{split}$$

and

$$\lim_{t \downarrow \ell T_b} s(t) = s(\ell T_b)$$

$$= \sum_{n=-\infty}^{\infty} g(t - nT_b) \cos\left(2\pi f_c t + \sum_{k=-\infty}^{n-1} I_k \pi h + I_n \pi h\left(\frac{t - nT_b}{T_b}\right)\right) \Big|_{t=\ell T_b}$$

$$= \cos\left(2\pi f_c \ell T_b + \sum_{k=-\infty}^{\ell-1} I_k \pi h\right) \quad (\text{i.e., } n = \ell).$$

Thus, no condition is imposed upon h and  $f_cT_b$  from phase-continuity requirement. (Note: This is an anticipated result because the formulation in (2) is a CPFSK signaling scheme.)

From Slide IDC2-24, we obtain

$$\begin{split} \int_{nT_{b}}^{(n+1)T_{b}} \cos\left(2\pi f_{c}t + \sum_{k=-\infty}^{n-1} I_{k}\pi h + \pi h\left(\frac{t-nT_{b}}{T_{b}}\right)\right) \\ &\cos\left(2\pi f_{c}t + \sum_{k=-\infty}^{n-1} I_{k}\pi h - \pi h\left(\frac{t-nT_{b}}{T_{b}}\right)\right) dt = 0 \\ \Leftrightarrow \int_{nT_{b}}^{(n+1)T_{b}} \cos\left(4\pi f_{c}t + 2\sum_{k=-\infty}^{n-1} I_{k}\pi h\right) dt + \int_{nT_{b}}^{(n+1)T_{b}} \cos\left(2\pi h\left(\frac{t-nT_{b}}{T_{b}}\right)\right) dt = 0 \\ \Leftrightarrow \frac{1}{4\pi f_{c}} \left(\sin\left(4\pi f_{c}(n+1)T_{b} + 2\sum_{k=-\infty}^{n-1} I_{k}\pi h\right) - \sin\left(4\pi f_{c}nT_{b} + 2\sum_{k=-\infty}^{n-1} I_{k}\pi h\right)\right) \\ &+ \frac{T_{b}}{2\pi h} \sin\left(2\pi h\right) = 0 \end{split}$$

Thus, if 2h is an integer, then coherent orthogonality requires  $\sin(4\pi f_c(n+1)T_b) = \sin(4\pi f_c nT_b)$ , i.e.,  $2f_c T_b$  must be an integer.

To sum up, memorylessness, phase-continuity and coherent orthogonality can be secured by setting both h/2 and  $2f_cT_b$  to be an integer.

(c) Since phase-continuity is guaranteed straightforwardly by the formulation in (2), we can make h arbitrarily small. Hence,  $R_b = 20/h$  Kbps can approach infinity (at the price of coherent non-orthogonality).

Note: When  $f_c \gg \frac{1}{T_b}$ , we have

$$\int_{nT_b}^{(n+1)T_b} \cos\left(4\pi f_c t + 2\sum_{k=-\infty}^{n-1} I_k \pi h\right) dt \approx 0.$$

Thus, taking h = 1/2 doubles the transmission rate but still maintains coherent orthogonality as  $\langle \phi_1(t), \phi_2(t) \rangle = \frac{\sin(2\pi h)}{2\pi h} = \operatorname{sinc}(2h) = 0$ . We can further increase the transmission rate by making h smaller than 1/2 at the price of a higher error performance due to coherent non-orthogonality.

For example, in the bluetooth standard, binary GFSK is adopted. The frequency deviation range  $f_d \triangleq (f_1 - f_2)/2 = f_1 - f_c = f_c - f_2$  of the bluetooth standard is between 140 KHz and 175 KHz. The symbol rate of 1 mega symbols per second (Ms/s) corresponds to a data rate of 1 Mb/s, which results in the modulation index h between  $0.28 = \frac{2f_{d,\text{min}}}{1/T_b} = \frac{2 \times 140 \text{ KHz}}{1Mbps}$  and  $0.35 = \frac{2f_{d,\text{max}}}{1/T_b} = \frac{2 \times 175 \text{ KHz}}{1Mbps}$ . Note that at the bottom line of page 387, the textbook names the quantity h as the

Note that at the bottom line of page 387, the textbook names the quantity h as the deviation ratio. But usually, it is referred to as the modulation index (See Section 3.3-1 of the book Digital Communications by Proakis and Salehi, or see the bluetooth specification). In terminologies,  $f_d$  is generally referred to as the peak frequency deviation (or simply frequency deviation), and  $f_1 - f_2 = 2f_d$  is called the frequency separation. Also, in the textbook, the Gaussian filter in GMSK is truncated at  $t = \pm 2.5T_b$ ; but in Digital Communications (bottom line of p. 118), the suggested truncation is performed at  $t = \pm 1.5T_b$ . Thus, the truncation window is an engineering choice.

4. Suppose we transmit either  $\sqrt{E_b}\phi_1(t)$  or  $\sqrt{E_b}\phi_2(t)$  with equal probability; however,  $\phi_1(t)$  and  $\phi_2(t)$  are no longer orthogonal (perhaps due to setting modulation index h = 0.28 to 0.35). Let  $\langle \phi_1(t), \phi_1(t) \rangle = \langle \phi_2(t), \phi_2(t) \rangle = 1$ , and w(t) is the additive white Gaussian noise process with two-sided PSD  $N_0/2$ . Express the optimal error performance as a function of  $\langle \phi_1(t), \phi_2(t) \rangle$ , provided  $|\langle \phi_1(t), \phi_2(t) \rangle| < 1$ .

Note: Here, all functions (i.e.,  $\phi_1(t)$ ,  $\phi_2(t)$  and w(t)) are real-valued and the receiver knows  $\phi_1(t)$  and  $\phi_2(t)$  and hence has the knowledge of  $\langle \phi_1(t), \phi_2(t) \rangle$ .

**Solution.** For convenience, denote  $\alpha = \langle \phi_1(t), \phi_2(t) \rangle$ .

Based on the Gram-Schmidt procedure, we let  $\psi_1(t) = \phi_1(t)$  and

$$\psi_2(t) = \frac{\phi_2(t) - \langle \phi_2(t), \psi_1(t) \rangle \psi_1(t)}{\sqrt{1 - (\langle \phi_1(t), \phi_2(t) \rangle)^2}} = \frac{\phi_2(t) - \alpha \phi_1(t)}{\sqrt{1 - \alpha^2}}.$$

Then, the transmitter transmits either  $\sqrt{E_b}\psi_1(t)$  or

$$\sqrt{E_b}\alpha\psi_1(t) + \sqrt{E_b(1-\alpha^2)}\psi_2(t).$$

The optimal receiver will perform

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} \langle x(t), \psi_1(t) \rangle \\ \langle x(t), \psi_2(t) \rangle \end{bmatrix} = \begin{bmatrix} \sqrt{E_b} \\ 0 \end{bmatrix} \text{ or } \begin{bmatrix} \sqrt{E_b}\alpha \\ \sqrt{E_b(1-\alpha^2)} \end{bmatrix} + \begin{bmatrix} w_1 \\ w_2 \end{bmatrix}$$

where  $w_1$  and  $w_2$  are zero-mean independent Gaussian distributed with variance  $\sigma^2 = \frac{N_0}{2}$ . The optimal decision rule is

$$y = \sqrt{1 - \alpha^2} x_1 - (1 + \alpha) x_2 \qquad \begin{cases} \sqrt{E_b} \phi_2(t) \text{ is trasmitted} \\ \\ \sqrt{E_b} \phi_1(t) \text{ is transmitted} \end{cases} 0$$

Since

$$y = \left(\sqrt{(1-\alpha^2)E_b} \text{ or } -\sqrt{(1-\alpha^2)E_b}\right) + w$$

with w zero-mean Gaussian of variance  $(1 + \alpha)N_0$ , the error probability is equal to<sup>1</sup>

$$\Phi\left(-\sqrt{\frac{(1-\alpha^2)E_b}{(1+\alpha)N_0}}\right) = \Phi\left(-\sqrt{(1-\alpha)\frac{E_b}{N_0}}\right)$$

Note: For CPFSK,  $\langle \phi_1(t), \phi_2(t) \rangle = \operatorname{sinc}(2h)$ . Hence, the error rate, as a function of the modulation index h, is

$$\Phi\left(-\sqrt{(1-\operatorname{sinc}(2h))\frac{E_b}{N_0}}\right).$$

The performance loss, in comparison with orthogonal MSK, is  $-10 \log_{10}(1 - \operatorname{sinc}(2h)) \text{ dB}$ . For the bluetooth standard that adopts h = 0.28 to 0.35, the performance loss is around 2.53 dB to 4.34 dB (but we gain a better transmission rate).

<sup>&</sup>lt;sup>1</sup>Recall that given  $y = \pm \sqrt{E_b} + w$  and w being zero-mean Gaussian distributed with variance  $\sigma^2$ , the error rate is  $\Phi(-\sqrt{E_b/\sigma^2})$ .