### Part 2 Coherent/Non-Coherent PSK/FSK

#### Coherent Frequency-Shift Keying

- □ (*M*-ary) ASK, (*M*-ary) PSK and (*M*-ary) FSK are three major categories of digital modulations, in which QAM can be viewed/analyzed similarly to (*M*-ary) PSK.
- $\Box$  In the sequel, (*M*-ary) FSK will be introduced and discussed.

#### Coherent Frequency-Shift Keying

Binary FSK

$$s_i(t) = \begin{cases} \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_i t\right), & 0 \le t < T_b \\ 0, & \text{elsewhere} \end{cases}$$

where  $i = 1, 2, f_i$  is a multiple of  $1/T_b$ ,  $E_b$  is the transmitted energy per bit, and  $T_b$  is the bit duration.

□ Vector space analysis of binary FSK

$$\boldsymbol{s}_1 = \begin{bmatrix} \sqrt{E_b} \\ 0 \end{bmatrix}$$
 and  $\boldsymbol{s}_2 = \begin{bmatrix} 0 \\ \sqrt{E_b} \end{bmatrix}$  with  $\begin{cases} \phi_1(t) = \sqrt{\frac{2}{T_b}} \cos(2\pi f_1 t) \\ \phi_2(t) = \sqrt{\frac{2}{T_b}} \cos(2\pi f_2 t) \end{cases}$ 

Since  $f_i$  is a multiple of  $1/T_b$ , the wave always starts from and ends at the same point.



E. D. Sunde, "Ideal binary pulse transmission by AM and FM," *Bell Labs Technical Journal*, 38, pp. 1357-1426, November 1959.

With this multiple- $(1/T_b)$  restriction, it becomes "continuous-phase" in every inter-bit transition. Such kind of forced "continuous-phase" signals, known as Sunde's FSK, surely belongs to the general continuous-phase FSK (CPFSK) family.



Coherent Frequency-Shift Keying – Error Probability of BFSK

 $\hat{m} = m_1$ 

Error probability of Binary FSK

$$x(t) = s(t) + w(t)$$

$$\Rightarrow \langle x(t), \phi_i(t) \rangle = \langle s(t), \phi_i(t) \rangle + \langle w(t), \phi_i(t) \rangle$$

$$\Rightarrow \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \text{either } \begin{bmatrix} \sqrt{E_b} \\ 0 \end{bmatrix} \text{ or } \begin{bmatrix} 0 \\ \sqrt{E_b} \end{bmatrix} + \begin{bmatrix} w_1 \\ w_2 \end{bmatrix}$$

$$\Rightarrow \hat{m} = \arg \max \left\{ P\left( \boldsymbol{x} \middle| \left[ \sqrt{E_b} \ 0 \right]^T \right), P\left( \boldsymbol{x} \middle| \left[ 0 \ \sqrt{E_b} \right]^T \right) \right\}$$

$$\Rightarrow x_1 - x_2 \overset{\hat{m}=m_2}{\underset{\hat{m}=m_1}{\overset{m=m_2$$

Coherent Frequency-Shift Keying – Error Probability of BFSK

$$\Box \text{ Error probability of binary FSK}$$

$$\blacksquare \text{ Based on the decision rule } y = x_1 - x_2 \qquad s_1 - s_2 = -\sqrt{E_b} \text{ is trasmitted} \\ 0 \\ s_1 - s_2 = \sqrt{E_b} \text{ is transmitted} \\ P(\text{Error}) = P\left(-\sqrt{E_b} \text{ transmitted}\right) P\left(y > 0 \left| -\sqrt{E_b} \text{ transmitted}\right) \\ + P\left(+\sqrt{E_b} \text{ transmitted}\right) P\left(y < 0 \left| +\sqrt{E_b} \text{ transmitted}\right) \\ = \frac{1}{2} \int_0^\infty \frac{1}{\sqrt{2\pi\sigma^2}} e^{-(x+\sqrt{E_b})^2/2\sigma^2} dx + \frac{1}{2} \int_{-\infty}^0 \frac{1}{\sqrt{2\pi\sigma^2}} e^{-(x-\sqrt{E_b})^2/2\sigma^2} dx \\ = \int_{-\infty}^0 \frac{1}{\sqrt{2\pi\sigma^2}} e^{-(x-\sqrt{E_b})^2/2\sigma^2} dx = \Phi\left(\frac{0-\sqrt{E_b}}{\sigma}\right) = \Phi\left(-\sqrt{\frac{E_b}{N_0}}\right) \\ \hline \sigma^2 = N_0 \text{ is the variance of } (w_1 - w_2) \\ \hline \Box C2-6 \\ \end{bmatrix}$$

Coherent Frequency-Shift Keying – Error Probability of BFSK

□ Comparison between BPSK and BFSK

$$P(\text{BPSK Error}) = \Phi\left(-\sqrt{2\frac{E_b}{N_0}}\right)$$

$$P(\text{BFSK Error}) = \Phi\left(-\sqrt{\frac{E_b}{N_0}}\right)$$
3 dB difference

Power spectra of binary FSK

- Assumption:  $f_1$  and  $f_2$  differ by  $1/T_b$ .
- Under such assumption,

$$\begin{cases} f_1 = f_c + \frac{1}{2T_b} \\ f_2 = f_c - \frac{1}{2T_b} \\ \text{is a multiple of } 1/T_b. \\ \text{(See Slide IDC2-3.)} \end{cases}$$

$$s(t) = \sum_{k=-\infty}^{\infty} g(t - kT_b) \cos\left(2\pi f_c t + I_k \frac{\pi t}{T_b}\right)$$

where  $I_k = \pm 1$  with equal probability, and  $\{I_k\}_{k=-\infty}^{\infty}$  i.i.d.

and 
$$g(t) = \begin{cases} \sqrt{\frac{2E_b}{T_b}}, & 0 \le t < T_b \\ 0, & \text{otherwise} \end{cases}$$

**General** time-averaged power spectra

- The text derives the (time-averaged) power spectra of the baseband signal as the sum of the in-phase power spectra and the quadrature power spectra.
- This may not be "correct" in general (See the next Slide).

 $\tilde{s}(t) = g_I(t) + jg_Q(t)$  with real-valued  $g_I(t)$  and  $g_Q(t)$ 

$$\begin{array}{lll} \overline{R_{\tilde{s}\tilde{s}}(t+\tau,t)} &=& E[(g_{I}(t+\tau)+jg_{Q}(t+\tau))(g_{I}(t)+jg_{Q}(t))^{*}] \\ &=& E[g_{I}(t+\tau)g_{I}(t)]+E[g_{Q}(t+\tau)g_{Q}(t)] \\ &+jE[g_{I}(t+\tau)g_{Q}(t)]+jE[g_{Q}(t+\tau)g_{I}(t)] \\ &=& E[g_{I}(t+\tau)g_{I}(t)]+E[g_{Q}(t+\tau)g_{Q}(t)] \\ & \text{if, and only if,} \\ &E[g_{I}(t+\tau)g_{Q}(t)]+E[g_{Q}(t+\tau)g_{I}(t)]=0. \end{array}$$

The cross-correlation also affects the resultant power spectra.  $\tilde{s}(t) = \tilde{s}_1(t) + \tilde{s}_2(t)$  with complexed-valued  $\tilde{s}_1(t)$  and  $\tilde{s}_2(t)$  $R_{\tilde{s}\tilde{s}}(t+\tau,t) = E[(\tilde{s}_1(t+\tau) + \tilde{s}_2(t+\tau))(\tilde{s}_1(t) + \tilde{s}_2(t))^*]$  $= E[\tilde{s}_{1}(t+\tau)\tilde{s}_{1}^{*}(t)] + E[\tilde{s}_{2}(t+\tau)\tilde{s}_{2}^{*}(t)]$  $+E[\tilde{s}_{1}(t+\tau)\tilde{s}_{2}^{*}(t)]+E[\tilde{s}_{2}(t+\tau)\tilde{s}_{1}^{*}(t)]$  $= E[\tilde{s}_1(t+\tau)\tilde{s}_1^*(t)] + E[\tilde{s}_2(t+\tau)\tilde{s}_2^*(t)]$ if, and only if,  $E[\tilde{s}_1(t+\tau)\tilde{s}_2^*(t)] + E[\tilde{s}_2(t+\tau)\tilde{s}_1^*(t)] = 0$ 

Power spectra of binary FSK

Since in-phase and quadrature components are independent, and since one of them is zero-mean (See the next few slides), the technique used in text is applicable to binary FSK.

**□** Equivalent baseband signal

$$s(t) = \sum_{k=-\infty}^{\infty} g(t - kT_b) \cdot \operatorname{Re} \left\{ e^{j(2\pi f_c t + I_k \pi t/T_b)} \right\}$$
$$= \operatorname{Re} \left\{ \left\{ \left( \sum_{k=-\infty}^{\infty} g(t - kT_b) e^{jI_k \pi t/T_b} \right) e^{j2\pi f_c t} \right\} \right\}$$
$$\left( = \operatorname{Re} \left\{ \tilde{s}(t) e^{j2\pi f_c t} \right\} \right)$$
$$\Rightarrow \tilde{s}(t) = \sum_{k=-\infty}^{\infty} g(t - kT_b) e^{jI_k \pi t/T_b}$$
$$= \sum_{k=-\infty}^{\infty} g(t - kT_b) \cos \left( I_k \pi t/T_b \right) + j \sum_{k=-\infty}^{\infty} g(t - kT_b) \sin \left( I_k \pi t/T_b \right)$$
$$= \underbrace{\sum_{k=-\infty}^{\infty} g(t - kT_b) \cos \left( \pi t/T_b \right) + j}_{g_I(t)} \underbrace{\sum_{k=-\infty}^{\infty} I_k g(t - kT_b) \sin \left( \pi t/T_b \right)}_{g_Q(t)}$$

IDC2-13

$$g_{I}(t) = \sum_{k=-\infty}^{\infty} g(t - kT_{b}) \cos(\pi t/T_{b}) = \sqrt{\frac{2E_{b}}{T_{b}}} \cos(\pi t/T_{b})$$

$$\bar{R}_{g_{I}g_{I}}(\tau) = \frac{1}{T_{b}} \int_{0}^{T_{b}} \frac{2E_{b}}{T_{b}} \cos(\pi (t + \tau)/T_{b}) \cos(\pi t/T_{b}) dt = \frac{E_{b}}{T_{b}} \cos(\pi \tau/T_{b})$$

$$\bar{S}_{B,g_{I}}(f) = \frac{E_{b}}{2T_{b}} \left[ \delta \left( f - \frac{1}{2T_{b}} \right) + \delta \left( f + \frac{1}{2T_{b}} \right) \right]$$

$$g_{Q}(t) = \sum_{k=-\infty}^{\infty} I_{k}g(t - kT_{b}) \sin(\pi t/T_{b})$$

$$= \sum_{k=-\infty}^{\infty} \underbrace{(-1)^{k}I_{k}}_{\tilde{I}_{k}} \cdot \underbrace{g(t - kT_{b}) \sin(\pi (t - kT_{b})/T_{b})}_{\tilde{g}(t - kT_{b})}$$

$$= \sum_{k=-\infty}^{\infty} \widetilde{I}_{k} \cdot \widetilde{g}(t - kT_{b})$$
(See Slides IDC1-33 and IDC1-35.)

IDC2-14

$$\tilde{G}(f) = \int_{0}^{T_{b}} \sqrt{\frac{2E_{b}}{T_{b}}} \sin(\pi t/T_{b}) e^{-j2\pi f t} dt$$
$$= \frac{2\sqrt{2E_{b}T_{b}}}{\pi} \left(\frac{\cos(\pi T_{b}f)}{1 - 4T_{b}^{2}f^{2}}\right) e^{-j\pi T_{b}f}$$

$$\bar{S}_{B,g_Q}(f) = \frac{1}{T_b} |\tilde{G}(f)|^2 = \frac{8E_b \cos^2(\pi T_b f)}{\pi^2 (1 - 4T_b^2 f^2)^2}$$

$$\begin{aligned} \bar{S}_B(f) &= \bar{S}_{B,g_I}(f) + \bar{S}_{B,g_Q}(f) \\ &= \frac{E_b}{2T_b} \left[ \delta \left( f - \frac{1}{2T_b} \right) + \delta \left( f + \frac{1}{2T_b} \right) \right] + \frac{8E_b \cos^2(\pi T_b f)}{\pi^2 (1 - 4_b^2 f^2)^2} \end{aligned}$$



□ Final note

- If BFSK is not continuous phase (due to  $f_1$  and  $f_2$  are not multiple of  $1/T_b$ ), then the "rule of thumb" indicates that the spectral decay will be slower.
- In fact, the rate of spectral decay for non-continuousphase FSK will become  $1/f^2$ .

Memoryless versus continuous-phase

$$s(t) = \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_i t\right) \text{ for } 0 \leq t < T_b$$
$$= \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_c t + I_0 \frac{\pi h}{T_b} t\right)$$
$$f_i = f_c + I_0 \frac{h}{2T_b}$$
where 
$$\begin{cases} I_0 = \pm 1 \\ f_c \triangleq \frac{1}{2}(f_1 + f_2) & \text{multiple of } 1/T_b \\ h \triangleq \frac{f_1 - f_2}{1/T_b} & \text{deviation ratio} \end{cases}$$

From the previous slide,

$$s(T_b) = \sqrt{\frac{2E_b}{T_b}} \cos(2\pi f_c T_b + I_0 \pi h) = \sqrt{\frac{2E_b}{T_b}} \cos(I_0 \pi h)$$

In order to maintain phase-continuity, s(t) can be of the form:

$$s(t) = \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_i(t - T_b) + I_0\pi h\right) \text{ for } \overline{T_b} \le t < 2T_b$$
$$= \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_c t + I_0\pi h + I_1\pi h\left(\frac{t - T_b}{T_b}\right)\right)$$
$$f_i = f_c + I_1\frac{h}{2T_b}$$

From the previous slide,

$$s(2T_b) = \sqrt{\frac{2E_b}{T_b}} \cos\left(I_0\pi h + I_1\pi h\right)$$

In order to maintain phase-continuity, s(t) can be of the form:

$$s(t) = \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_i(t - 2T_b) + I_0\pi h + I_1\pi h\right) \text{ for } 2T_b \le t < 3T_b$$
$$= \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_c t + I_0\pi h + I_1\pi h + I_2\pi h\left(\frac{t - 2T_b}{T_b}\right)\right) \int_{t_b}^{t_b} f_i = f_c + I_2\frac{h}{2T_b}$$

So, in order to maintain phase-continuity subject to that  $f_c$  is a multiple of  $1/T_b$ , we obtain:

$$s(t) = \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_c t + \sum_{k=-\infty}^{n-1} I_k \pi h + I_n \pi h \left(\frac{t-nT_b}{T_b}\right)\right)$$

for  $nT_b \leq t < (n+1)T_b$ .

Require "memory" of all histories.

Memoryless, hence, requires:

$$\left(\sum_{k=-\infty}^{\infty} I_k \pi h\right) \mod 2\pi = \text{constant for all } \{I_k\}_{k=-\infty}^{n-1} \Rightarrow h = \text{even integer}$$

- □ This kind of continuous-phase and memoryless FSK (with h integer) is called Sunde's FSK.
- Sunde's FSK is a special case of the continuous-phase FSK (CPFSK) family.
- □ For general CPFSK, the system requires to memorize

$$\sum_{k=-\infty}^{n-1} I_k \pi h \text{ for every } n$$



Minimum shift keying

The passband signals respectively for  $I_n = -1$  and  $I_n = +1$  are better to be coherent orthogonal, i.e., we require

$$\int_{nT_b}^{(n+1)T_b} \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_c t + \sum_{k=-\infty}^{n-1} I_k \pi h - \pi h\left(\frac{t-nT_b}{T_b}\right)\right)$$

$$\times \sqrt{\frac{2E_b}{T_b}} \cos\left(2\pi f_c t + \sum_{k=-\infty}^{n-1} I_k \pi h + \pi h\left(\frac{t-nT_b}{T_b}\right)\right) dt$$

$$= \frac{E_b}{T_b} \int_{nT_b}^{(n+1)T_b} \cos\left(4\pi f_c t + 2\sum_{k=-\infty}^{n-1} I_k \pi h\right) dt + \frac{E_b}{T_b} \int_{nT_b}^{(n+1)T_b} \cos\left(2\pi h\left(\frac{t-nT_b}{T_b}\right)\right) dt$$

$$= 0 + \frac{E_b}{2\pi h} \sin(2\pi h) = 0 \quad \Rightarrow \quad (2\pi h) \operatorname{mod}\pi = 0$$

#### □ Minimum shift keying

•  $h = \frac{1}{2}$  is the minimum *h* that satisfies "coherent orthogonality" condition; hence, it is named minimum shift keying.

$$h = \frac{f_1 - f_2}{1/T_b} = \frac{1}{2} \Rightarrow (f_1 - f_2) = \frac{1}{2T_b}$$
  

$$\tilde{s}(t) = \sqrt{\frac{2E_b}{T_b}} e^{j(\pi/2) \left(\sum_{k=-\infty}^{n-1} I_k + I_n \left(\frac{t - nT_b}{T_b}\right)\right)} \text{ for } nT_b \le t < (n+1)T_b$$
  

$$= \sqrt{\frac{2E_b}{T_b}} e^{j\theta(t)}$$



That  $t = T_b$  (hence, n = 1) gives that  $e^{j[\theta(T_b) - \theta(0)]} = I_0 e^{j(\pi/2)}$ .

$\theta(T_b) = \pi/2$	$\theta(0) = 0$	$e^{j(\pi/2-0)} = I_0 e^{j(\pi/2)} = e^{j(\pi/2)}$	$I_0 = 1$
$\theta(T_b) = \pi/2$	$\theta(0) = \pi$	$e^{j(\pi/2-\pi)} = I_0 e^{j(\pi/2)} = -e^{j(\pi/2)}$	$I_0 = -1$
$\theta(T_b) = -\pi/2$	$\theta(0) = \pi$	$e^{j(-\pi/2-\pi)} = I_0 e^{j(\pi/2)} = e^{j(\pi/2)}$	$I_0 = 1$
$\theta(T_b) = -\pi/2$	$\theta(0) = 0$	$e^{j(-\pi/2-0)} = I_0 e^{j(\pi/2)} = -e^{j(\pi/2)}$	$I_0 = -1$

$$\begin{cases} \tilde{s}(T_b) = \sqrt{\frac{2E_b}{T_b}} e^{j(\pi/2) \left(\sum_{k=-\infty}^0 I_k\right)} \\ \tilde{s}(0) = \sqrt{\frac{2E_b}{T_b}} e^{j(\pi/2) \left(\sum_{k=-\infty}^{-1} I_k\right)} \end{cases}$$

For  $n = 2\ell - 1$ , we have

$$\begin{aligned} \cos[\theta(t) - \theta(0)] &= \left(\prod_{k=0}^{n} I_{k}\right) I_{n} \cos\left(\frac{\pi}{2} \left[I_{n}\left(\frac{t - nT_{b}}{T_{b}}\right) + n\right]\right) \\ &= \left(\prod_{k=0}^{n} I_{k}\right) I_{n} \cos\left(\frac{\pi}{2} \left[I_{n}\left(\frac{t - (2\ell - 1)T_{b}}{T_{b}}\right) + 2\ell - 1\right]\right) \\ &= \left(\prod_{k=0}^{n} I_{k}\right) I_{n} \cos\left(\frac{\pi}{2} \left[I_{n}\left(\frac{t - 2\ell T_{b}}{T_{b}}\right) + I_{n} + 2\ell - 1\right]\right) \\ &= \begin{cases} \left(\prod_{k=0}^{n} I_{k}\right) \cos\left(\frac{\pi}{2} \left[\left(\frac{t - 2\ell T_{b}}{T_{b}}\right) + 2\ell\right]\right), & I_{n} = 1 \\ -\left(\prod_{k=0}^{n} I_{k}\right) \cos\left(\frac{\pi}{2} \left[-\left(\frac{t - 2\ell T_{b}}{T_{b}}\right) + 2\ell - 2\right]\right), & I_{n} = -1 \end{cases} \\ &= \begin{cases} \left(\prod_{k=0}^{2\ell - 1} I_{k}\right) \cos\left(\frac{\pi(t - 2\ell T_{b})}{2T_{b}}\right), & \ell \text{ even} \\ -\left(\prod_{k=0}^{2\ell - 1} I_{k}\right) \cos\left(\frac{\pi(t - 2\ell T_{b})}{2T_{b}}\right), & \ell \text{ odd} \end{aligned}$$

For 
$$n = 2\ell$$
, we have  

$$\cos[\theta(t) - \theta(0)] = \left(\prod_{k=0}^{n} I_{k}\right) I_{n} \cos\left(\frac{\pi}{2} \left[I_{n}\left(\frac{t - nT_{b}}{T_{b}}\right) + n\right]\right)$$

$$= \left(\prod_{k=0}^{n-1} I_{k}\right) \cos\left(\frac{\pi}{2} \left[I_{n}\left(\frac{t - 2\ell T_{b}}{T_{b}}\right) + 2\ell\right]\right)$$

$$= \begin{cases} \left(\prod_{k=0}^{2\ell-1} I_{k}\right) \cos\left(\frac{\pi(t - 2\ell T_{b})}{2T_{b}}\right), \quad \ell \text{ even} \\ -\left(\prod_{k=0}^{2\ell-1} I_{k}\right) \cos\left(\frac{\pi(t - 2\ell T_{b})}{2T_{b}}\right), \quad \ell \text{ odd} \end{cases}$$

$$= \sum_{k=0}^{n-1} \left(2\ell + 1\right) T_{b} = \ell t = (2\ell + 1) T_{b}$$

For 
$$(2\ell - 1)T_b \leq t < (2\ell + 1)T_b$$
,  
 $\cos[\theta(t) - \theta(0)] = (-1)^\ell J_{2\ell-1} \cos\left(\frac{\pi(t - 2\ell T_b)}{2T_b}\right)$ ,  
where  $J_n \triangleq \prod_{k=0}^n I_k$ .

For  $n = 2\ell$ , we have

$$\sin[\theta(t) - \theta(0)] = \left(\prod_{k=0}^{n} I_{k}\right) I_{n} \sin\left(\frac{\pi}{2} \left[I_{n}\left(\frac{t - nT_{b}}{T_{b}}\right) + n\right]\right)$$
$$= J_{2\ell}I_{2\ell} \sin\left(\frac{\pi}{2} \left[I_{2\ell}\left(\frac{t - 2\ell T_{b}}{T_{b}}\right) + 2\ell\right]\right)$$
$$= \begin{cases} J_{2\ell} \sin\left(\frac{\pi}{2} \left[\left(\frac{t - 2\ell T_{b}}{T_{b}}\right) + 2\ell\right]\right), & I_{2\ell} = 1\\ -J_{2\ell} \sin\left(\frac{\pi}{2} \left[-\left(\frac{t - 2\ell T_{b}}{T_{b}}\right) + 2\ell\right]\right), & I_{2\ell} = -1\end{cases}$$
$$= \begin{cases} J_{2\ell} \sin\left(\frac{\pi(t - 2\ell T_{b})}{2T_{b}}\right), & \ell \text{ even}\\ -J_{2\ell} \sin\left(\frac{\pi(t - 2\ell T_{b})}{2T_{b}}\right), & \ell \text{ odd} \end{cases}$$

For  $n = 2\ell + 1$ , we have

$$\begin{aligned} \sin[\theta(t) - \theta(0)] &= \left(\prod_{k=0}^{n} I_{k}\right) I_{n} \sin\left(\frac{\pi}{2} \left[I_{n}\left(\frac{t - nT_{b}}{T_{b}}\right) + n\right]\right) \\ &= \left(\prod_{k=0}^{n-1} I_{k}\right) \sin\left(\frac{\pi}{2} \left[I_{n}\left(\frac{t - (2\ell + 1)T_{b}}{T_{b}}\right) + 2\ell + 1\right]\right) \\ &= J_{2\ell} \sin\left(\frac{\pi}{2} \left[I_{n}\left(\frac{t - 2\ell T_{b}}{T_{b}}\right) - I_{n} + 2\ell + 1\right]\right) \\ &= \begin{cases} J_{2\ell} \sin\left(\frac{\pi}{2} \left[\left(\frac{t - 2\ell T_{b}}{T_{b}}\right) + 2\ell\right]\right), & I_{n} = 1 \\ J_{2\ell} \sin\left(\frac{\pi}{2} \left[-\left(\frac{t - 2\ell T_{b}}{T_{b}}\right) + 2\ell + 2\right]\right), & I_{n} = -1 \end{cases} \\ &= \begin{cases} J_{2\ell} \sin\left(\frac{\pi(t - 2\ell T_{b})}{2T_{b}}\right), & \ell \text{ even} \\ -J_{2\ell} \sin\left(\frac{\pi(t - 2\ell T_{b})}{2T_{b}}\right), & \ell \text{ odd} \end{cases} \end{aligned}$$

For 
$$(2\ell - 1)T_b \leq t < (2\ell + 1)T_b$$
,  
 $\cos[\theta(t) - \theta(0)] = \cos[\theta(t)] = \tilde{I}_{2\ell-1}\cos\left(\frac{\pi(t - 2\ell T_b)}{2T_b}\right)$ ,  
 $= \tilde{I}_{2\ell-1}\sin\left(\frac{\pi(t - (2\ell - 1)T_b)}{2T_b}\right)$   
For  $2\ell T_b \leq t < (2\ell + 2)T_b$ ,  
 $\sin[\theta(t) - \theta(0)] = \sin[\theta(t)] = \tilde{I}_{2\ell}\sin\left(\frac{\pi(t - 2\ell T_b)}{2T_b}\right)$ ,  
where  $\tilde{I}_n \triangleq (-1)^{\lceil n/2 \rceil} (\prod_{k=0}^n I_k)$ .

$$\begin{split} s(t) &= \operatorname{Re}\left\{\tilde{s}(t)e^{j2\pi f_{c}t}\right\} \\ &= \sqrt{\frac{2E_{b}}{T_{b}}}\cos[\theta(t)]\cos(2\pi f_{c}t) - \sqrt{\frac{2E_{b}}{T_{b}}}\sin[\theta(t)]\sin(2\pi f_{c}t) \\ &= \sqrt{\frac{2E_{b}}{T_{b}}}\sum_{\ell=0}^{\infty}\left[\tilde{I}_{2\ell-1}\cdot g(t-(2\ell-1)T_{b})\cdot\cos(2\pi f_{c}t)\right] \\ &\quad -\tilde{I}_{2\ell}\cdot g(t-2\ell T_{b})\cdot\sin(2\pi f_{c}t)\right] \\ &\text{where } g(t) = \begin{cases} \sin\left(\frac{\pi t}{2T_{b}}\right), & 0 \leq t < 2T_{b} \\ 0, & \text{otherwise} \end{cases}. \end{split}$$

$$\begin{aligned} \text{Let } \phi_1(t) &= \begin{cases} \sqrt{\frac{2}{T_b}} g(t+T_b) \cos(2\pi f_c t), & -T_b \leq t < T_b \\ 0, & \text{otherwise} \end{cases} \\ \text{Let } \phi_2(t) &= \begin{cases} \sqrt{\frac{2}{T_b}} g(t) \sin(2\pi f_c t), & 0 \leq t < 2T_b \\ 0, & \text{otherwise} \end{cases} \\ \Rightarrow s(t) &= \sqrt{E_b} \sum_{\ell=0}^{\infty} \left[ \tilde{I}_{2\ell-1} \cdot \phi_1(t-2\ell T_b) - \tilde{I}_{2\ell} \cdot \phi_2(t-2\ell T_b) \right] \\ \langle s(t), \phi_1(t-2kT_b) \rangle &= \int_{-\infty}^{\infty} s(t)\phi_1(t-2kT_b)dt \quad \mathbf{k} \geq \mathbf{1} \text{ because } \tilde{I}_{-1} \text{ is known} \\ &= \int_{(2k-1)T_b}^{(2k+1)T_b} s(t)\phi_1(t-2kT_b)dt \\ &= \sqrt{E_b} \sum_{\ell=0}^{\infty} \left[ \tilde{I}_{2\ell-1} \int_{(2k-1)T_b}^{(2k+1)T_b} \phi_1(t-2\ell T_b)\phi_1(t-2kT_b)dt \\ &- \tilde{I}_{2\ell} \int_{(2k-1)T_b}^{(2k+1)T_b} \phi_2(t-2\ell T_b)\phi_1(t-2kT_b)dt \end{bmatrix} \end{aligned}$$

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IDC2-34

$$\begin{split} s(t), \phi_1(t-2kT_b) \rangle &= \sqrt{E_b} \left[ \tilde{I}_{2k-1} \int_{(2k-1)T_b}^{(2k+1)T_b} \phi_1^2(t-2kT_b) dt \\ \underbrace{\mathbf{s} = t - 2kT_b} & -\tilde{I}_{2(k-1)} \int_{(2k-1)T_b}^{2kT_b} \phi_2(t-2(k-1)T_b) \phi_1(t-2kT_b) dt \\ & -\tilde{I}_{2k} \int_{2kT_b}^{(2k+1)T_b} \phi_2(t-2kT_b) \phi_1(t-2kT_b) dt \right] \\ &= \sqrt{E_b} \left[ \tilde{I}_{2k-1} \int_{-T_b}^{T_b} \phi_1^2(s) ds - \tilde{I}_{2(k-1)} \int_{-T_b}^{0} \phi_2(s+2T_b) \phi_1(s) ds \\ & -\tilde{I}_{2k} \int_{0}^{T_b} \phi_2(s) \phi_1(s) ds \right] \\ \int_{-T_b}^{0} \phi_2(s+2T_b) \phi_1(s) ds \\ &= \frac{2}{T_b} \int_{-T_b}^{0} \sin\left(\frac{\pi s}{2T_b} + \pi\right) \sin(2\pi f_c s) \sin\left(\frac{\pi s}{2T_b} + \frac{\pi}{2}\right) \cos(2\pi f_c s) ds \\ &= -\frac{1}{2T_b} \int_{-T_b}^{0} \sin\left(\frac{\pi s}{T_b}\right) \sin(4\pi f_c s) ds = 0. \end{split}$$

Similarly, 
$$\int_{0}^{T_{b}} \phi_{2}(s)\phi_{1}(s)ds = 0.$$
$$\int_{-T_{b}}^{T_{b}} \phi_{1}^{2}(s)ds = \frac{2}{T_{b}} \int_{-T_{b}}^{T_{b}} \sin^{2}\left(\frac{\pi s}{2T_{b}} + \frac{\pi}{2}\right) \cos^{2}(2\pi f_{c}s)ds$$
$$= \frac{2}{T_{b}} \int_{-T_{b}}^{T_{b}} \left(\frac{1 + \cos(\pi s/T_{b})}{2}\right) \left(\frac{1 + \cos(4\pi f_{c}s)}{2}\right) ds$$
$$= 1.$$

Therefore,

$$\langle s(t), \phi_1(t-2kT_b) \rangle = \sqrt{E_b} \cdot \tilde{I}_{2k-1}.$$
 for  $k \ge 1$ 

By following similar procedure,

$$\langle s(t), \phi_2(t-2kT_b) \rangle = -\sqrt{E_b} \cdot \tilde{I}_{2k}.$$
 for  $k \ge 0$ 

$$\begin{split} I_n &= (-1)^{\lceil n/2 \rceil + \lceil (n-1)/2 \rceil} \tilde{I}_n \tilde{I}_{n-1} = (-1)^n \tilde{I}_n \tilde{I}_{n-1} \\ \text{with initial value } \tilde{I}_{-1} &= 1 \end{split} \text{IDC2-36}$$
# Coherent Frequency-Shift Keying – Error Probability of MSK

□ Error probability of MSK (Decision rule)

$$\begin{aligned} x(t) &= s(t) + w(t) \\ \Rightarrow & \begin{cases} \langle x(t), \phi_1(t - 2kT_b) \rangle &= \langle s(t), \phi_1(t - 2kT_b) \rangle + \langle w(t), \phi_1(t - 2kT_b) \rangle \\ \langle x(t), \phi_2(t - 2kT_b) \rangle &= \langle s(t), \phi_2(t - 2kT_b) \rangle + \langle w(t), \phi_2(t - 2kT_b) \rangle \end{cases} \\ \Rightarrow & \begin{cases} x_1 &= \sqrt{E_b} \cdot \tilde{I}_{2k-1} + w_1 \\ x_2 &= -\sqrt{E_b} \cdot \tilde{I}_{2k} + w_2 \end{cases} \\ \Rightarrow & \tilde{I}_{2k-1} \tilde{I}_{2k} = (-1)^{\lceil (2k-1)/2 \rceil} \left( \prod_{u=0}^{2k-1} I_u \right) (-1)^{\lceil 2k/2 \rceil} \left( \prod_{u=0}^{2k} I_u \right) = I_{2k} \\ & \text{implies} \begin{bmatrix} I_{2k} = 1 \\ x_1 x_2 &\leq 0 \\ I_{2k} = -1 \end{bmatrix} \end{aligned}$$



#### □ Error probability of MSK (Quick derivation)

$$P(\tilde{I}_{2k} \text{ error}) = \Phi\left(-\sqrt{2\frac{E_b}{N_0}}\right)$$

$$P(\tilde{I}_{2k-1} \text{ error}) = \Phi\left(-\sqrt{2\frac{E_b}{N_0}}\right)$$

$$P(I_{2k} \text{ error}) = P(\tilde{I}_{2k} \text{ correct})P(\tilde{I}_{2k-1} \text{ error})$$

$$+P(\tilde{I}_{2k} \text{ error})P(\tilde{I}_{2k-1} \text{ correct})$$

$$= 2\Phi\left(-\sqrt{2\frac{E_b}{N_0}}\right)\left[1-\Phi\left(-\sqrt{2\frac{E_b}{N_0}}\right)\right]$$

$$-----\Phi(-x) = \frac{1}{2} \operatorname{erfc}\left(\frac{x}{\sqrt{2}}\right) - \frac{1}{\operatorname{IDC2-39}}$$

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Coherent Frequency-Shift Keying – Error Probability of MSK

Final note on error probability of MSK
 Since {*I*<sub>n</sub>} and {*I*<sub>n</sub>} are one-to-one correspondence,

we can make  $\{\tilde{I}_n\}$  be the true information bits.

 $\{\tilde{I}_n\} \to \{I_n\} \to \operatorname{MSK} \operatorname{transmitter} \to \operatorname{Channel} \to \operatorname{MSK} \operatorname{receiver} \to \{\tilde{I}_n\}$ 

In such case, the error rate of MSK becomes the one indicating in Eq. (6.127) as:

$$P(\tilde{I}_n \text{ Error}) = \Phi\left(-\sqrt{2\frac{E_b}{N_0}}\right)$$

#### Coherent Frequency-Shift Keying – Block Diagrams



Power spectra of MSK

$$\tilde{s}(t) = \sum_{\ell=-\infty}^{\infty} \left[ \tilde{I}_{2\ell-1} \cdot g(t - (2\ell - 1)T_b) \cdot + j\tilde{I}_{2\ell} \cdot g(t - 2\ell T_b) \right]$$
  
where  $g(t) = \begin{cases} \sqrt{\frac{2E_b}{T_b}} \sin\left(\frac{\pi t}{2T_b}\right), & 0 \le t < 2T_b \\ 0, & \text{otherwise} \end{cases}$ .

No cross-correlation between  $g_I$  and  $g_Q$  (cf. Slide IDC2-10)

$$\bar{S}_{B,\text{MSK}}(f) = 2\left(\frac{1}{2T_b}\right)|G(f)|^2 = \frac{32E_b\cos^2(2\pi T_b f)}{\pi^2(1 - 16T_b^2 f^2)^2}$$

MSK decays as the inverse fourth power of  $\frac{1}{4E_b}\bar{S}_{B,\text{QPSK}}(f) = \text{sinc}^2(2T_b f)$ 0.9 frequency. 0.8 (See Slide IDC1-36 and (6.40) in text.) QPSK decays as 0.7 0.6 the inverse 0.5 second power of  $\frac{1}{4E_b}\bar{S}_{B,\text{MSK}}(f) = \frac{8\cos^2(2\pi T_b f)}{\pi^2(1 - 16T_c^2 f^2)^2}$ 0.4 frequency. 0.3 0.2 0.1 0 0.75 1.25 1.5 0.25 0.5 1 0  $fT_b$ 

- □ Gaussian-filtered MSK (GMSK)
  - MSK has the merits of
    - □ Constant envelope
    - □ Relatively narrow bandwidth (compared with QPSK)
    - □ Same coherent detection performance as QPSK
  - Can we further improve out-of-band characteristics of MSK (to fulfill the stringent requirements of certain applications such as GSM)?

□ Answer: GMSK

With 
$$g(t) = \begin{cases} \sin\left(\frac{\pi t}{2T_b}\right), & 0 \le t < 2T_b \\ 0, & \text{otherwise} \end{cases}$$

$$s_{\text{MSK}}(t) = \sqrt{\frac{2E_b}{T_b}} \sum_{\ell=0}^{\infty} \left[ \tilde{I}_{2\ell-1} \cdot g(t - (2\ell - 1)T_b) \cdot \cos(2\pi f_c t) - \tilde{I}_{2\ell} \cdot g(t - 2\ell T_b) \cdot \sin(2\pi f_c t) \right]$$

•

$$s_{\text{GMSK}}(t) = \sqrt{\frac{2E_b}{T_b}} \sum_{\ell=0}^{\infty} \left[ a_{2\ell-1}(t) \star g(t - (2\ell - 1)T_b) \cdot \cos(2\pi f_c t) - a_{2\ell}(t) \star g(t - 2\ell T_b) \cdot \sin(2\pi f_c t) \right]$$

$$H(f) = \exp\left(-\frac{\log(2)}{2}\left(\frac{f}{W}\right)^2\right) = 2^{-\frac{(f/W)^2}{2}}$$

$$h(t) = \sqrt{\frac{2\pi}{\log(2)}}W \exp\left(-\frac{2\pi^2}{\log(2)}W^2t^2\right)$$

$$W = 3 \text{ dB baseband bandwidth}$$

$$Gaussian filter$$

$$a(t) = \Phi\left(-\frac{2\pi WT_b}{\sqrt{\log(2)}}\left(\frac{t}{T_b} - \frac{1}{2}\right)\right) - \Phi\left(-\frac{2\pi WT_b}{\sqrt{\log(2)}}\left(\frac{t}{T_b} + \frac{1}{2}\right)\right)$$

$$\Phi(-x) = \frac{1}{2} \operatorname{erfc}\left(\frac{x}{\sqrt{2}}\right)$$



In the limiting case, GMSK corresponds to the case of ordinary MSK. Let  $v = WT_b$ .

$$\begin{split} \lim_{v \uparrow \infty} a(t) &= \lim_{v \uparrow \infty} \left[ \Phi \left( -\frac{2\pi v}{\sqrt{\log(2)}} \left( \frac{t}{T_b} - \frac{1}{2} \right) \right) - \Phi \left( -\frac{2\pi v}{\sqrt{\log(2)}} \left( \frac{t}{T_b} + \frac{1}{2} \right) \right) \right] \\ &= \begin{cases} 1, & -\frac{T_b}{2} < t < \frac{T_b}{2} \\ \frac{1}{2}, & t = -\frac{T_b}{2} \text{ or } t = \frac{T_b}{2} \\ 0, & \text{otherwise} \end{cases} \\ &= \text{Input waveform} \end{split}$$

#### Appendix (Recall the PSD of Line Coded Signals)

 A usual general PSD formula is (See my Slides 2-30 and 6-64 for Introduction to Communication Systems):

 $\infty$ 

$$\overline{\text{PSD}} = \lim_{T \to \infty} \frac{1}{2T} E[S(f)S_{2T}^*(f)], \text{ where } s_{2T}(t) = s(t) \cdot \mathbf{1}\{|t| \le T\}.$$

For a line coded signal,  $s(t) = \sum_{n=-\infty}^{\infty} a_n g(t - nT_b)$ , where g(t) = 0 outside  $[0, T_b)$ .

Hence, 
$$S(f) = G(f) \sum_{n=-\infty}^{\infty} a_n e^{-j2\pi f n T_{\rm b}}$$
 and  $S_{2NT_{\rm b}}(f) = G(f) \sum_{n=-N}^{N-1} a_n e^{-j2\pi f n T_{\rm b}}$ .  
 $\Rightarrow \overline{\text{PSD}} = \lim_{N \to \infty} \frac{1}{2NT_{\rm b}} |G(f)|^2 \left( \sum_{n=-\infty}^{\infty} \sum_{m=-N}^{N-1} E[a_n a_m^*] e^{-j2\pi f (n-m)T_{\rm b}} \right).$ 

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## Appendix (Recall the PSD of Line Coded Signals)

$$\begin{split} \operatorname{PSD} &= \lim_{N \to \infty} \frac{1}{2NT_b} |G(f)|^2 \left( \sum_{n=-\infty}^{\infty} \sum_{m=-N}^{N-1} E[a_n a_m^*] e^{-j2\pi f(n-m)T_b} \right) \\ &= |G(f)|^2 \lim_{N \to \infty} \frac{1}{2NT_b} \left( \sum_{m=-N}^{N-1} \sum_{n=-\infty}^{\infty} \phi_a(n-m) e^{-j2\pi f(n-m)T_b} \right) \\ &= |G(f)|^2 \lim_{N \to \infty} \frac{1}{2NT_b} \left( \sum_{m=-N}^{N-1} \sum_{k=-\infty}^{\infty} \phi_a(k) e^{-j2\pi f kT_b} \right) \\ &= |G(f)|^2 \frac{1}{T_b} \left( \sum_{k=-\infty}^{\infty} \phi_a(k) e^{-j2\pi f kT_b} \right) \end{split}$$

$$s_{\text{MSK}}(t) = \sqrt{\frac{2E_b}{T_b}} \sum_{\ell=0}^{\infty} \left[ \tilde{I}_{2\ell-1} \cdot g(t - (2\ell - 1)T_b) \cdot \cos(2\pi f_c t) - \tilde{I}_{2\ell} \cdot g(t - 2\ell T_b) \cdot \sin(2\pi f_c t) \right]$$

$$s_{\text{GMSK}}(t) = \sqrt{\frac{2E_b}{T_b}} \sum_{\ell=0}^{\infty} \left[ a_{2\ell-1}(t) \star g(t - (2\ell - 1)T_b) \cdot \cos(2\pi f_c t) - a_{2\ell}(t) \star g(t - 2\ell T_b) \cdot \sin(2\pi f_c t) \right]$$

The GMSK signal is not in the "line-coding" form except when  $WT_b$  tends to infinity (which results in MSK)". Hence, its PSD is in general difficult to obtain. Figure 6.33 in textbook was obtained using the approximation approach proposed by G. J. Garrison in 1975 ("A power spectral density analysis for digital FM", *IEEE Trans. Commun.*, vol. 23, pp. 1228-1243, Nov. 1975).

$$\begin{array}{c|c} \{\tilde{I}_k\} & & \\ \hline & & \\ & &$$

□ More compact in power spectra for time-bandwidth product  $WT_b$  less than unity (See Figure 6.33 in textbook)



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**C** Error probability of GMSK

It is known from Slide IDC2-41 that

$$P_{\rm MSK}(\tilde{I}_n \text{ Error}) = \Phi\left(-\sqrt{2\frac{E_b}{N_0}}\right)$$

Assume that

$$P_{\mathbf{G}\mathrm{MSK}}(\tilde{I}_n \text{ Error}) = \Phi\left(-\sqrt{\alpha \frac{E_b}{N_0}}\right)$$

Find  $\alpha$  empirically.

Performance degrades (from MSK) due to intersymbol interference that is introduced by Gaussian filter (See Figure 6.34 in textbook).





☐ *M*-ary FSK  $s_i(t) = \begin{cases} \sqrt{\frac{2E}{T}} \cos\left(2\pi f_c t + i\frac{\pi t}{2T}\right), & 0 \le t < T \\ 0, & \text{elsewhere} \end{cases}$ where  $i = \pm 1, \pm 3, \dots, \pm (M - 1), f_c$  is a multiple of 1/(2T), E is the transmitted energy per symbol, and *T* is the symbol duration.

□ Orthogonality

$$\phi_i(t) = \frac{1}{\sqrt{E}} s_i(t)$$
, where  $\{\phi_i(t)\}_{i=1}^M$  orthonormal.

□ Error probability **bound** of *M*-ary FSK

$$\underline{P_{e,M} \leq (M-1)P_{e,2}} = (M-1)\Phi\left(-\sqrt{\frac{E}{N_0}}\right) \quad \text{(See the next slide.)}$$

D Power spectra of *M*-ary FSK (No derivation)

$$\tilde{s}(t) = \sum_{k=-\infty}^{\infty} g(t - kT) e^{jI_k \pi t/(2T)}$$

where  $I_k = \pm 1, \dots, \pm (M-1)$  with equal prob., and  $\{I_k\}_{k=-\infty}^{\infty}$  i.i.d. and  $g(t) = \begin{cases} \sqrt{\frac{2E}{T}}, & 0 \le t < T\\ 0, & \text{otherwise} \end{cases}$ 

D Power spectra of *M*-ary FSK (Not in the line-coding form)

$$\tilde{s}(t) = \sum_{k=-\infty}^{\infty} g(t-kT)e^{jI_k\pi t/(2T)}$$
$$= \sum_{k=-\infty}^{\infty} e^{jI_k\pi k/2}g(t-kT)e^{jI_k\pi (t-kT)/(2T)}$$

where  $I_k = \pm 1, \dots, \pm (M-1)$  with equal prob., and  $\{I_k\}_{k=-\infty}^{\infty}$  i.i.d. and  $g(t) = \begin{cases} \sqrt{\frac{2E}{T}}, & 0 \le t < T\\ 0, & \text{otherwise} \end{cases}$ 

### Appendix (Excluded from exam)

This is outside the current scope of the text. Just provide it for those who are interested in the derivation.

$$R_{\tilde{s}\tilde{s}}(t+\tau,t) = \sum_{k=-\infty}^{\infty} \sum_{\ell=-\infty}^{\infty} g(t+\tau-kT)g(t-\ell T)E\left[e^{jI_{k}\pi(t+\tau)/(2T)}e^{-jI_{\ell}\pi t/(2T)}\right]$$
$$= \sum_{k=-\infty}^{\infty} \sum_{\ell=-\infty,\ell\neq k}^{\infty} g(t+\tau-kT)g(t-\ell T)E\left[e^{jI_{k}\pi(t+\tau)/(2T)}\right]E\left[e^{-jI_{\ell}\pi t/(2T)}\right]$$
$$+ \sum_{k=-\infty}^{\infty} g(t+\tau-kT)g(t-kT)E\left[e^{jI_{k}\pi \tau/(2T)}\right]$$
where  $E\left[e^{jI_{k}v}\right] = \frac{2}{M}\sum_{u=1}^{M/2} \cos\left((2u-1)v\right)$  for even  $M$ .

$$\begin{split} \tilde{S}(f) &= \sum_{k=-\infty}^{\infty} e^{jI_k \pi k/2} e^{-j2\pi fkT} \mathcal{F}\left\{g(t)e^{jI_k \pi t/(2T)}\right\} \\ &= \sum_{k=-\infty}^{\infty} e^{jI_k \pi k/2} e^{-j2\pi fkT} G\left(f - \frac{I_k}{4T}\right) & \text{This is outside the current} \\ scope of the text. Just \\ provide it for those who \\ are interested in the \\ derivation. \end{split}$$
$$\begin{aligned} &\overline{PSD}(f) &= \lim_{N \to \infty} \frac{1}{2NT_b} E\left[\sum_{k=-\infty}^{\infty} e^{jI_k \pi k/2} e^{-j2\pi fkT} G\left(f - \frac{I_k}{4T}\right) \\ &\quad \sum_{m=-N}^{N-1} e^{-jI_m \pi m/2} e^{j2\pi fmT} G^*\left(f - \frac{I_m}{4T}\right)\right] \\ &= \lim_{N \to \infty} \frac{1}{2NT_b} \sum_{m=-N}^{N-1} \sum_{k=-\infty}^{\infty} e^{-j2\pi f(k-m)T} \\ &\quad E\left[e^{jI_k \pi k/2} G\left(f - \frac{I_k}{4T}\right) e^{-jI_m \pi m/2} G^*\left(f - \frac{I_m}{4T}\right)\right] \end{aligned}$$

= ..... (no straightforward analysis)

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□ Spectral efficiency of *M*-ary FSK

$$B = M \frac{1}{2T}$$

$$R_b = \frac{1}{T_b}$$

$$\Rightarrow \rho = \frac{R_b}{B} = \frac{2\log_2(M)}{M}$$
 bits/seconds/Hz
$$T = T_b \log_2(M)$$

M	2	4	8	16	32	64
ρ	1	1	0.75	0.5	0.3125	0.1815

Larger *M implies* worse spectral efficiency.

 $\Box$  How to deal with unknown phase  $\theta$ , e.g., in FSK?

$$x(t) = \sqrt{\frac{2E}{T}}\cos(2\pi f_i t + \theta) + w(t) = s_i(t) + w(t)$$

#### Answer: Noncoherent receiver

- □ How to remove the requirement of phase information at noncoherent receiver?
  - □ Answer: Take the expectation with respect to all possible  $\theta$ .

 $s_i(t) = \sqrt{\frac{2E}{T}} \cos(2\pi f_i t + \theta)$  $\phi_i(t) = \frac{1}{\sqrt{E}} s_i(t)$ (Conditional) likelihood ratio test For known  $\theta$ .  $x(t) = s_k(t) + w(t)$  $\Rightarrow \langle x(t), \phi_i(t) \rangle = \langle s_k(t), \phi_i(t) \rangle + \langle w(t), \phi_i(t) \rangle$ The distribution of  $w_i$  has nothing  $\Rightarrow x_i = (\text{either } \sqrt{E} \text{ or } 0) + w_i = s_{i,k} + w_i$ to do with  $\theta$ .  $\Rightarrow f(x_i|s_{i,k}) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-(x_i - s_{i,k})^2/(2\sigma^2)}$  $\sigma^2 = N_0/2$ Mdecision = arg  $\max_{1 \le k \le M} \prod_{i=1} f(x_i | s_{i,k}) = \arg \max_{1 \le k \le M} \prod_{i=1} e^{s_{i,k} x_i / \sigma^2}$ © Po-Ning Chen@ece.nctu  $s_{i,k} = \langle$ IDC2-66

$$\Rightarrow \text{ decision} = \arg \max_{1 \le k \le M} \prod_{i=1}^{M} e^{s_{i,k} x_i/\sigma^2} \quad s_{i,k} = \begin{cases} \sqrt{E}, & i = k \\ 0, & \text{otherwise} \end{cases}$$
$$\Rightarrow \text{ decision} = \arg \max \left\{ e^{\sqrt{E}x_1/\sigma^2}, e^{\sqrt{E}x_2/\sigma^2}, \cdots, e^{\sqrt{E}x_M/\sigma^2} \right\}$$
$$\text{ where } x_i = \langle x(t), \phi_i(t) \rangle = \int_0^T \sqrt{\frac{2}{T}} x(t) \cos(2\pi f_i t + \theta) dt$$

 $\Box$  However,  $\theta$  is unknown! So, let's average it out.

decision = arg max 
$$\left\{ E_{\theta} \left[ e^{\sqrt{E}x_1/\sigma^2} \right], E_{\theta} \left[ e^{\sqrt{E}x_2/\sigma^2} \right], \cdots, E_{\theta} \left[ e^{\sqrt{E}x_M/\sigma^2} \right] \right\}$$

Hence, 
$$E_{\theta}\left[e^{\sqrt{E}x_i/\sigma^2}\right] = E_{\theta}\left[e^{\sqrt{\frac{8E}{TN_0^2}}\int_0^T x(t)\cos(2\pi f_i t + \theta)dt}\right]$$
  
Since

$$\int_{0}^{T} x(t) \cos(2\pi f_{i}t + \theta) dt$$
  
=  $\cos(\theta) \int_{0}^{T} x(t) \cos(2\pi f_{i}t) dt - \sin(\theta) \int_{0}^{T} x(t) \sin(2\pi f_{i}t) dt$   
=  $\ell_{i} [\cos(\theta) \cos(\beta_{i}) - \sin(\theta) \sin(\beta_{i})] = \ell_{i} \cos(\theta + \beta_{i})$ 

where

$$\ell_i = \left[ \left( \int_0^T x(t) \cos(2\pi f_i t) dt \right)^2 + \left( \int_0^T x(t) \sin(2\pi f_i t) dt \right)^2 \right]^{1/2}$$
$$\beta_i = \arctan\left( \left( \int_0^T x(t) \sin(2\pi f_i t) dt \right)^2 \int_0^T x(t) \cos(2\pi f_i t) dt \right)$$

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Assume  $\theta$  is uniform distributed over  $[-\pi, \pi)$ .

$$E_{\theta} \left[ e^{\sqrt{E}x_{i}/\sigma^{2}} \right] = E_{\theta} \left[ e^{\sqrt{\frac{8E}{TN_{0}^{2}}}\ell_{i}\cos(\theta+\beta_{i})} \right]$$
$$= \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{\sqrt{\frac{8E}{TN_{0}^{2}}}\ell_{i}\cos(\theta+\beta_{i})} d\theta$$
$$= \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{\sqrt{\frac{8E}{TN_{0}^{2}}}\ell_{i}\cos(\theta)} d\theta$$
$$= I_{0} \left( \sqrt{\frac{8E}{TN_{0}^{2}}}\ell_{i} \right)$$
The modified Bessel function of zero kind is a monotonically increasing function.

decision = 
$$\arg \max_{1 \le i \le M} E_{\theta} \left[ e^{\sqrt{E}x_i/\sigma^2} \right]$$
  
=  $\arg \max_{1 \le i \le M} I_0 \left( \sqrt{\frac{8E}{TN_0^2}} \ell_i \right)$  The modified Bessel function of zero kind is a monotonically increasing function.  
=  $\arg \max_{1 \le i \le M} \ell_i$   
=  $\arg \max_{1 \le i \le M} \ell_i^2$ , since  $\ell_i \ge 0$ .  
The receiver is therefore named as *quadratic receiver*.  
 $\ell_i = \left[ \left( \int_0^T x(t) \cos(2\pi f_i t) dt \right)^2 + \left( \int_0^T x(t) \sin(2\pi f_i t) dt \right)^2 \right]^{1/2}$ 



- ☐ Alternative realization of quadratic receiver
  - Quadrature receiver using matched filter


### Detection of Signals with Unknown Phase

- Another alternative realization of quadratic receiver
  - Noncoherent matched filter

$$\underbrace{x(t)}_{h(t)} \underbrace{y(t)}_{t} \text{Envelope}_{detector} \underbrace{\frac{1}{\sqrt{2}}\ell_i}_{\text{at }t=T}$$
Impulse response  $h(t) = \cos(2\pi f_i(T-t)) \cdot \mathbf{1}\{0 \le t \le T\}$ 

$$\begin{aligned} y(t) &= \int_{t-T}^{t} x(\tau) \cos(2\pi f_i (T - (t - \tau)) d\tau \\ &= \cos[2\pi f_i (T - t)] \int_{t-T}^{t} x(\tau) \cos(2\pi f_i \tau) d\tau - \sin[2\pi f_i (T - t)] \int_{t-T}^{t} x(\tau) \sin(2\pi f_i \tau) d\tau \\ &= \ell_i(t) \cdot \cos[2\pi f_i (T - t) + \beta_i(t)] \end{aligned}$$

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## Detection of Signals with Unknown Phase

Envelope detector = squarer + lowpass filter + square-rooter

$$y^{2}(t) = \ell_{i}^{2}(t) \cdot \cos^{2}[2\pi f_{i}(T-t) + \beta_{i}(t)]$$

$$= \frac{1}{2}\ell_{i}^{2}(t) + \frac{1}{2}\ell_{i}^{2}(t) \cdot \cos[4\pi f_{i}(T-t) + 2\beta_{i}(t)]$$

$$\stackrel{\text{lowpass}}{\rightarrow} \frac{1}{2}\ell_{i}^{2}(t)$$

$$\stackrel{\text{rooter}}{\rightarrow} \frac{1}{\sqrt{2}}\ell_{i}(t)$$

**Final note** 

The merit of noncoherent matched filter over quadratic receiver using matched filter is that the latter actually samples the output before the lowpass filter (i.e., high-frequency signal) while the former samples the output after the lowpass filter (i.e., true envelope signal). Hence, the latter has a much higher demand on the accuracy of sampling time.

# Noncoherent Orthogonal Modulation

- Definition of noncoherent orthogonal modulation
  - The signals remain orthogonal and have the same energy regardless of the unknown carrier phase.
  - Example. Binary FSK introduced previously

# Noncoherent Orthogonal Modulation

□ Noncoherent matched filter



## Noncoherent Orthogonal Modulation

□ Noncoherent matched filter = Quadrature receiver if  $\psi_i(t)$  and  $\hat{\psi}_i(t)$  are properly chosen.



### Error Rate of Noncoherent Receiver

- Error rate of noncoherent receiver for binary orthogonal modulated signals
  - Assume  $s_1(t)$  is transmitted and  $\theta$  is known.

$$s_i(t) = \sqrt{\frac{2E}{T}} \cos(2\pi f_i t + \theta)$$
  
$$\phi_i(t) = \sqrt{\frac{2}{T}} \cos(2\pi f_i t)$$
  
$$\hat{\phi}_i(t) = \sqrt{\frac{2}{T}} \sin(2\pi f_i t)$$

$$x(t) = s_{i}(t) + w(t)$$

$$\Rightarrow \begin{cases} x_{I,1} = \langle x(t), \phi_{1}(t) \rangle = \sqrt{E} \cos(\theta) + w_{1} \\ x_{Q,1} = \langle x(t), \hat{\phi}_{1}(t) \rangle = \sqrt{E} \sin(\theta) + w_{2} \\ x_{I,2} = \langle x(t), \phi_{2}(t) \rangle = w_{3} \\ x_{Q,2} = \langle x(t), \hat{\phi}_{2}(t) \rangle = w_{4} \end{cases}$$

$$[w_{i}] \text{ i.i.d. zero-mean Gaussian with variance } N_{0}/2$$

$$[w_{i}] \text{ i.i.d. zero-mean Gaussian with variance } N_{0}/2$$

$$w_{i} = \left[ \left( \int_{0}^{T} x(t) \cos(2\pi f_{i}t) dt \right)^{2} + \left( \int_{0}^{T} x(t) \sin(2\pi f_{i}t) dt \right)^{2} \right]^{1/2} \text{ IDC2-78}$$

### Error Rate of Noncoherent Receiver

$$\begin{array}{|c|c|c|} \hline \Box & \text{Based on the decision rule } \ell_1^2 \overset{i=2}{\underset{i=1}{\atop_{i=1}{\atop$$

 $P(\text{Error}|s_1(t) \text{ transmitted})$ 

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{\pi N_0} e^{-[x_{I,1} - \sqrt{E}\cos(\theta)]^2/N_0} e^{-[x_{Q,1} - \sqrt{E}\sin(\theta)]^2/N_0} \left( \int_{\sqrt{x_{I,1}^2 + x_{Q_1}^2}}^{\infty} \frac{2\ell_2}{N_0} e^{-\ell_2^2/N_0} d\ell_2 \right) dx_{I,1} dx_{Q,1}$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{\pi N_0} e^{-[x_{I,1} - \sqrt{E}\cos(\theta)]^2/N_0} e^{-[x_{Q,1} - \sqrt{E}\sin(\theta)]^2/N_0} \left( e^{-[x_{I,1}^2 + x_{Q_1}^2]/N_0} \right) dx_{I,1} dx_{Q,1}$$

$$= \frac{1}{2} e^{-E/(2N_0)} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{\pi (N_0/2)} e^{-[x_{I,1} - \sqrt{E}\cos(\theta)/2]^2/(N_0/2)} e^{-[x_{Q,1} - \sqrt{E}\sin(\theta)/2]^2/(N_0/2)} dx_{I,1} dx_{Q,1}$$

$$= \frac{1}{2} e^{-E/(2N_0)}.$$

#### Similarly,

$$P(\text{Error}|s_2(t) \text{ transmitted}) = \frac{1}{2}e^{-E/(2N_0)}$$

Consequently,

$$P(\text{Error}) = \frac{1}{2}e^{-E/(2N_0)}.$$

Note that the resulting error rate has nothing to do with  $\theta$ .

# Differential Phase-Shift Keying

□ Transmitter of differential phase-shift keying

$$d_k = \overline{d_{k-1} \oplus b_k} \qquad a_k = 2d_k - 1$$



## Differential Phase-Shift Keying

**Receiver of differential phase-shift keying** 



$$s(t) = \sqrt{\frac{2E_b}{T_b}} \cos(2\pi f_c t + (1 - d_k)\pi + \theta) \text{ for } kT_b \le t < (k+1)T_b$$
  

$$\phi_1(t) = \sqrt{\frac{2}{T_b}} \cos(2\pi f_c t)$$
  

$$\phi_2(t) = -\sqrt{\frac{2}{T_b}} \sin(2\pi f_c t)$$

$$x(t) = s(t) + w(t)$$

$$x_{I,k-1} = \langle x(t), \phi_1(t) \rangle = \sqrt{E_b} \cos((1 - d_{k-1})\pi + \theta) + w_{I,k-1}$$

$$= -(-1)^{d_{k-1}}\sqrt{E_b} \cos(\theta) + w_{I,k-1}$$

$$x_{Q,k-1} = \langle x(t), \phi_2(t) \rangle = \sqrt{E_b} \sin((1 - d_{k-1})\pi + \theta) + w_{Q,k-1}$$

$$= -(-1)^{d_{k-1}}\sqrt{E_b} \sin(\theta) + w_{Q,k-1}$$

$$x_{I,k} = \langle x(t), \phi_1(t) \rangle = \sqrt{E_b} \cos((1 - d_k)\pi + \theta) + w_{I,k}$$

$$= -(-1)^{d_k}\sqrt{E_b} \cos(\theta) + w_{I,k}$$

$$x_{Q,k} = \langle x(t), \phi_2(t) \rangle = \sqrt{E_b} \sin((1 - d_k)\pi + \theta) + w_{Q,k}$$

$$= -(-1)^{d_k}\sqrt{E_b} \sin(\theta) + w_{Q,k}$$

$$\begin{cases} -(-1)^{d_{k-1}}\sqrt{E_b}\cos(\theta) = s_I \\ -(-1)^{d_{k-1}}\sqrt{E_b}\sin(\theta) = s_Q \\ -(-1)^{d_k}\sqrt{E_b}\cos(\theta) = -(-1)^{b_k}s_I \\ -(-1)^{d_k}\sqrt{E_b}\sin(\theta) = -(-1)^{b_k}s_Q \end{cases} \xrightarrow{k=k_0} d_k = \overline{d_{k-1} \oplus b_k} \\ -(-1)^{d_k}\sqrt{E_b}\sin(\theta) = -(-1)^{b_k}s_Q \xrightarrow{k=k_0} d_k = \overline{d_{k-1} \oplus b_k} \\ -(-1)^{d_k}\sqrt{E_b}\sin(\theta) = -(-1)^{b_k}s_Q \xrightarrow{k=k_0} d_k = \overline{d_{k-1} \oplus b_k} \\ -(-1)^{d_k}\sqrt{E_b}\sin(\theta) = -(-1)^{b_k}s_Q \xrightarrow{k=k_0} d_k = \overline{d_{k-1} \oplus b_k} \\ -(-1)^{d_k}\sqrt{E_b}\sin(\theta) = -(-1)^{b_k}s_Q \xrightarrow{k=k_0} d_k = \overline{d_{k-1} \oplus b_k} \\ -(-1)^{d_k}\sqrt{E_b}\sin(\theta) = -(-1)^{b_k}s_Q \xrightarrow{k=k_0} d_k = \overline{d_{k-1} \oplus b_k} \\ -(-1)^{d_k}\sqrt{E_b}\sin(\theta) = -(-1)^{b_k}s_Q \xrightarrow{k=k_0} d_k = \overline{d_{k-1} \oplus b_k} \\ -(-1)^{d_k}\sqrt{E_b}\sin(\theta) = -(-1)^{b_k}s_Q \xrightarrow{k=k_0} d_k = \overline{d_{k-1} \oplus b_k} \\ -(-1)^{d_k}\sqrt{E_b}\sin(\theta) = -(-1)^{b_k}s_Q \xrightarrow{k=k_0} d_k = \overline{d_{k-1} \oplus b_k} \\ \xrightarrow{k=k_0} d_k = \overline{d_k} \\ \xrightarrow{k=k_0} d_k \\ \xrightarrow{$$

$$\begin{split} P(\text{Error}|b_{k} = 1) & u_{I} \sim \mathcal{N}(2s_{I}, N_{0}) \\ = & \Pr\left(u_{I}^{2} + u_{Q}^{2} \leq \ell_{2}^{2}\right) \begin{array}{l} u_{I} \sim \mathcal{N}(2s_{Q}, N_{0}) \\ u_{Q} \sim \mathcal{N}(2s_{Q}, N_{0}) \\ \ell_{2} \sim \text{Rayleigh distributed with } E[\ell_{2}^{2}] = 2N_{0} \\ = & \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{2\pi N_{0}} e^{-(u_{I} - 2s_{I})^{2}/(2N_{0})} e^{-(u_{Q} - 2s_{I})^{2}/(2N_{0})} \left(\int_{\sqrt{u_{I}^{2} + u_{Q}^{2}}}^{\infty} \frac{\ell_{2}}{N_{0}} e^{-\ell_{2}^{2}/(2N_{0})} d\ell_{2}\right) du_{I} du_{Q} \\ = & \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{2\pi N_{0}} e^{-(u_{I} - 2s_{I})^{2}/(2N_{0})} e^{-(u_{Q} - 2s_{I})^{2}/(2N_{0})} \left(e^{-(u_{I}^{2} + u_{Q}^{2})/(2N_{0})}\right) du_{I} du_{Q} \\ = & \frac{1}{2} e^{-(s_{I}^{2} + s_{Q}^{2})/N_{0}} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{1}{\pi N_{0}} e^{-(u_{I} - s_{I})^{2}/N_{0}} e^{-(u_{Q} - s_{Q})^{2}/N_{0}} du_{I} du_{Q} \\ = & \frac{1}{2} e^{-E_{b}/N_{0}}. \end{split}$$

Similarly,

$$P(\text{Error}|b_k = 0) = \frac{1}{2}e^{-E_b/N_0}.$$

Consequently,

$$P(\text{Error}) = \frac{1}{2}e^{-E_b/N_0}.$$

Note that the resulting error rate has nothing to do with  $\theta$ .

# Comparison of Digital Modulation Schemes

□ The performance degradation from coherent to noncoherent counterpart is less than 1 dB ( $10^{1/10}$ =1.259).



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# Comparison of Digital Modulation Schemes

- □ The power-bandwidth requirement of *M*-ary PSK with respect to binary PSK
  - M = 4 offers the best tradeoff between power and bandwidth requirement, which explains why QPSK is widely used in practice.

V	alue of $M$	$\frac{(\text{Bandwidth})}{(\text{Bandwidth})}$	$\frac{M-ary}{Binary} = \frac{(SNR responses)}{(SNR responses)}$	equired for SER= equired for SER=	$= 10^{-4} \Big)_{M-\text{ary}}$ $= 10^{-4} \Big)_{\text{Binary}}$
Under the same $\overline{T_b}$	4	0.5	(Slide IDC1-49)	0.34 dB	(Slide IDC1-43)
	8	0.333	(Slide IDC 1-63)	$3.91 \mathrm{~dB}$	(Slide IDC1-65)
	16	0.25	(Slide IDC 1-63)	$8.52~\mathrm{dB}$	(Slide IDC1-65)
	32	0.2	(Slide IDC 1-63)	13.52  dB	(Slide IDC1-65)

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# Comparison of Digital Modulation Schemes

Comparison between *M*-ary PSK and *M*-ary QAM

- *M*-ary QAM outperforms *M*-ary PSK as can be easily seen from the below constellations.
- However, *M*-ary QAM requires higher linearity in, e.g., power amplifier design.

